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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/016,993	12/13/2001	Michael V. Wiles	R-948

CONFIRMATION NO. 4978

FORMALITIES LETTER



OC000000007336632

DELTAGEN, INC.
740 Bay Road
Redwood City, CA 94063

Repln. Ref: 04/29/2002 SDIRETA1 0009571200
DA: 501271 Name/Number: 10016993
FC: 704 \$149.00 CR

Date Mailed: 01/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/20/2002 SZEWDIE1 00000045 10016993

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:202	252.00 OP
04 FC:203	9.00 OP
05 FC:204	140.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$252.
 ■ \$252 for 6 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 637.

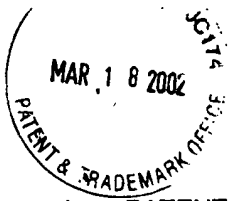
Adjustment date: 04/29/2002 SDIRETA1
03/20/2002 SZEWDIE1 00000045 10016993
04 FC:203 9.00 OP
05 FC:204 -140.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING COMPLETION UNDER RULE 53(f)

In re PATENT APPLICATION of:

Inventors: **Michael V. Wiles et al.**
Appln. No.: **10/016,993**
Filed: **December 13, 2001**
Title: **Transgenic Mice Containing Alpha-Endosulfine Gene Disruptions**

**COPY OF PAPERS
ORIGINALLY FILED**

Docket/Order No. **R-948**
Deposit Account No. **50-1271**
Customer No. **26619**

Date: **March 8, 2002**

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Applicants hereby claim "small entity" status pursuant to 37 CFR §1.27.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED									
5. Basic Filing Fee					\$370.	\$	370.00	201	
6. Total Claims		21	minus 20 =	1	x	9.	+	9.00	203
7. Independent Claims		9	minus 3 =	6	x	42.	+	252.00	202
8. Multiple Dependent Claims					140.	+	140.00	204	
9. Surcharge for filing Declaration/filing fee late					65.	+	65.00	205	
10. FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED =					\$ 836.00				
11. Original due date: March 22, 2002									
12. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					\$ 55. / 200. 460. / 720.		0	215/216 217/218	
13. If an Assignment is included, add recording fee.....					\$ 40.	+	40.00	581	
TOTAL FEE (enclosed): =							\$	876.00	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

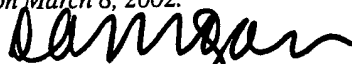
DELTAGEN, INC.

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Mariette A. Lapiz, Reg. No. 44,202

CERTIFICATE OF MAILING

I certify that the enclosed papers are being deposited with the U.S. Postal Service as First Class Mail, postage paid, in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on March 8, 2002.



Deborah A. Mojarro